



# Adenylate Energy Charge-New Tool for Determining Metalworking Fluid Microbial Population's Sublethal Response to Microbicide Treatment

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## Abstract

Adenylate energy charge (AEC) is computed from the ratios of three energy molecules found in all living cells: adenosine triphosphate (ATP), adenosine diphosphate (ADP), and adenosine monophosphate (AMP). Previous studies have shown that when microbicide treatments resulting in ATP concentration decreases of  $<2\text{Log}_{10}$  pg ATP mL<sup>-1</sup>, populations recover within a few days post-treatment. Recovery has not been observed when microbicide treatments reduce ATP-bioburdens by  $\geq 2\text{Log}_{10}$  pg ATP mL<sup>-1</sup>. However, frequently  $\geq 1\text{Log}_{10}$  pg ATP mL<sup>-1</sup> remains even after effective treatment. The AECs of robust microbial communities range from 0.7 to 0.95. When populations are stressed, the AEC decreases – reflecting the relative depletion of ATP and accumulation of ADP and AMP within cells. The paper reports the impact of lethal and sub-lethal microbicide treatments on AEC in microbially contaminated emulsifiable oil and semi-synthetic metalworking fluids. The results demonstrate the utility of AEC testing to determine the physiological state of microbial contaminants in water-miscible metalworking fluids. This capability is becoming increasingly important as metalworking fluid move from microbicide use to reliance on bio resistant functional additives.

**Keywords:** Adenylate Energy Charge, AEC, Adenosine Triphosphate, ATP, Bacterial, Bioburdens, Biocides, Metalworking Fluids, Microbial Contamination, Microbicides, MWF

**Abbreviations:** AEC: adenylate energy charge; ADP: adenosine diphosphate; AMP: adenosine monophosphate; AP: amine package (proprietary); ATP: adenosine triphosphate; AXP: combination of ADP + AMP + ATP; BIT – 1,2-benzisothiazol-3(2H)-one; cADP, cAMP, cATP: cellular adenosine di-, mono-, and triphosphate, respectively; EO: emulsifiable oil; F-C: flow cytometry; HCHO: formaldehyde; MBO: 3,3'-methylenebis[5-methyloxazolidine]; MWF: metalworking fluid; RLU: relative light unit; TAE: Technische Akademie Esslingen

## Introduction

Water-miscible metalworking fluids (MWFs) are used to provide cooling, lubrication, and waste (metal fines) transport in machining and metal forming operations. Typically, MWFs are concentrated blends of functional additives in a base stock. When the base stock is petroleum, animal, or vegetable oil, the MWF is classified as an emulsifiable oil [1]. For end-use, MWF are diluted to 3 % (vol) to 10 % (vol) in water. End-use diluted MWF are recirculated at high velocities ( $\approx 3\text{ m}^3\text{ min}^{-1}$ ), under turbulent-flow conditions. This well-aerated, aqueous-organic mixture, operating

at 25 °C to 35 °C creates optimal conditions for microbial growth and proliferation [2]. Abundant microbial growth in recirculating MWFs and on MWF system surfaces are reservoirs for bioaerosols in machining facilities. Bioaerosols can cause respiratory diseases ranging from mild allergic rhinitis to lethal hypersensitivity pneumonitis [3]. Consequently, effective microbial contamination control measures are necessary to prevent both MWF biodeterioration and worker health risks. Historically, antimicrobial pesticides (also known as microbicides, or biocides) have been used in formulation,

added tank side, or both, to control bioburdens in MWF. However, recent regulatory trends have restricted MWF microbicide use [4]. In response, MWF formulators have turned to bio resistant performance additives. These additives are not intended to be microbicidal. However, they render the MWF less accommodating to microbial contamination [5]. To properly assess the relationship between bio resistant additives and bioburdens in MWFs, new tools are needed. The use of adenosine triphosphate (ATP) testing by ASTM method E2694 [6] was discussed at previous TAE Tribology colloquia [7,8]. Atkinson and Walton reported in 1966 [9], the ratios of the adenosine nucleotides: ATP, ADP, and AMP, provided an index of the population's physiological state, as described by the equation:

$$AEC = \frac{[cATP] + 0.5 [cADP]}{[cATP] + [cADP] + cAMP}$$

Where AEC was the adenylate energy charge, and [cATP], [cADP], and [cAMP] were the respective concentrations of cellular ATP, ADP and AMP in  $\text{pg mL}^{-1}$ . The AEC of healthy, metabolically active microbial populations was typically  $^30.78$  [10]. Stressed but viable populations typically had AECs in the 0.44 to 0.78 range and moribund populations had AECs  $<0.44$  [10]. In the 1970s there were several reports of AEC profiles for natural populations [11,12]. This paper reports the impact of biostable amine additives and of selective microbicides on the AEC of metalworking fluid (MWF) microbial populations.

## Materials and Methods

### AXP testing

Adenosine nucleotides were extracted from MWF samples by ASTM Method E2694 [6]. Briefly, a 5.0 mL specimen of MWF was filtered through a 0.7 mm, in-line glass fiber filter. The retentate was washed with a propriety rinse solution to eliminate interferences and then retained cells were lysed, with the lysate pressure filtered into a dilution buffer. The extract was then reacted with a Luciferin-Luciferase substrate-enzyme, and luminescence was measured using a luminometer. Raw relative light unit (RLU) results were compared against ATP, ADP, and AMP reference standards to yield quantitative results in  $\text{pg mL}^{-1}$  of each adenosine nucleotide. The AEC was computed using equation [1].

## Results

### Precision evaluation

**Table 1:** Repeatability precision of AXP test parameters in EO MWF.

Parameter	s	CV %
Log10[cATP]	0.078	2.4
Log10[cADP]	0.17	6.5
Log10[cAMP]	0.077	2.6
AEC	0.036	5.1

The AXP method's precision was evaluated by treating an EO MWF with BIT at either 600 ppmv (a.s.) or 2500 ppmv (a.s.) and

### Culture testing

The standard plate count (spread plate) method was used to enumerate culturable bacteria.

### Flow cytometry

Bacterial cells were isolated by gradient centrifugation (10.5% Nycodenz) and re-suspended in a Tris-EDTA buffered system (pH 8.3), stained with SybrGreen (1:100,000) and subsequently analyzed on an Accuri C6 cytometer (BD Biosciences).

### MWFs and test substances

Testing was performed on proprietary emulsifiable oil (EO) MWF formulations diluted to 5 % (vol) in laboratory tap water. Depending on the individual experiment, dilute MWF was dosed with: 1,2-benzisothiazol-3(2H)-one (BIT, 20 % (vol) active ingredient – a.i. – as supplied – a.s.) at either 600 ppmv or 2,500 ppmv; formaldehyde (HCHO) at 100 ppmv, 370 ppmv, or 3,700 ppmv (all a.s.); 3,3'-methylenebis[5-methyloxazolidine] (MBO, 99% a.i.) at 250 ppmv, 500 ppmv, 1,000 ppmv, or 2,000 ppmv (all a.s.), two proprietary amine additive packages (AP1 and AP2). The total amine concentration in AP1 was 59. % vol. (1.19 % vol in diluted MWF) and that of 11900 ppm AP2 was 68.0 % vol. (1.36 % vol. in diluted MWF).

### Testing

Uncharacterized microbial inocula from contaminated MWFs were grown in untreated, end-use diluted MWFs until ATP-bioburden ([cATP]) was  $^34\text{Log}_{10}$   $\text{pg mL}^{-1}$ . The high bioburden MWF was then dispensed into the appropriate number of test jars and treated with a test substance. Specimens were then collected periodically-the number of sampling times and sampling intervals varying among experiments – and tested by AXP. During some experiments, AXP testing was augmented with culture testing, flow-cytometry counting, or both.

### Statistics

All statistical testing was performed using Microsoft Excel's data analysis add-in software.

sampling after 24h (T24) and 96h (T96). Triplicate specimens were tested at each time point to give a total of 15 specimens. Average

standard deviations (s) and coefficients of variation (CV %) are shown in Table 1.

**Relationship between [cATP], AEC, culturability, and flow cytometry cell counts.**

In the first experiment, eight MWF samples were collected and tested by AXP and culturability. Based on the minimal variability determined for AEC results, replicate tests were not performed for this or subsequent tests. The [cATP] and CFU mL<sup>-1</sup> results were transformed to attribute scores (negligible bioburden = 1, moderate bioburden = 3, and heavy bioburden = 5). Table 2 shows that for all samples, ATP and culture-based attribute scores agreed and that – except for sample 8 – when bioburdens were negligible, AEC <0.4. Sample 8 differed from the other low bioburden MWFs in that

bioburdens were at the high end of the negligible range (Log<sub>10</sub>[cATP] <2 pg mL<sup>-1</sup>; Log<sub>10</sub> CFU mL<sup>-1</sup> <3). Although the bioburden's attribute score was negligible the microbes present were metabolically active. In a second experiment a Bio concept EO MWF was treated with HCHO at 3,700 ppmv. Samples were taken at T<sub>0</sub>, T<sub>30</sub> min, T<sub>60</sub> min, and T<sub>120</sub> min, and tested for AEC, culturability and direct counts using flow cytometry. Culturability at T<sub>0</sub> was 6Log<sub>10</sub> CFU mL<sup>-1</sup> and BDL (below detection limits; <1Log<sub>10</sub> CFU mL<sup>-1</sup>) at all other sampling times. As shown in Figure 1, HCHO treatment had no measurable effect on flow-cytometry counts. Given that HCHO is used as a preservative to prevent cells from lysing, this is not surprising. Within 2h, the AEC fell from 0.92 to 0.40 – demonstrating that the population had shifted from active metabolism to a moribund state. The [cAMP] increase mirrored the [cATP] decrease.

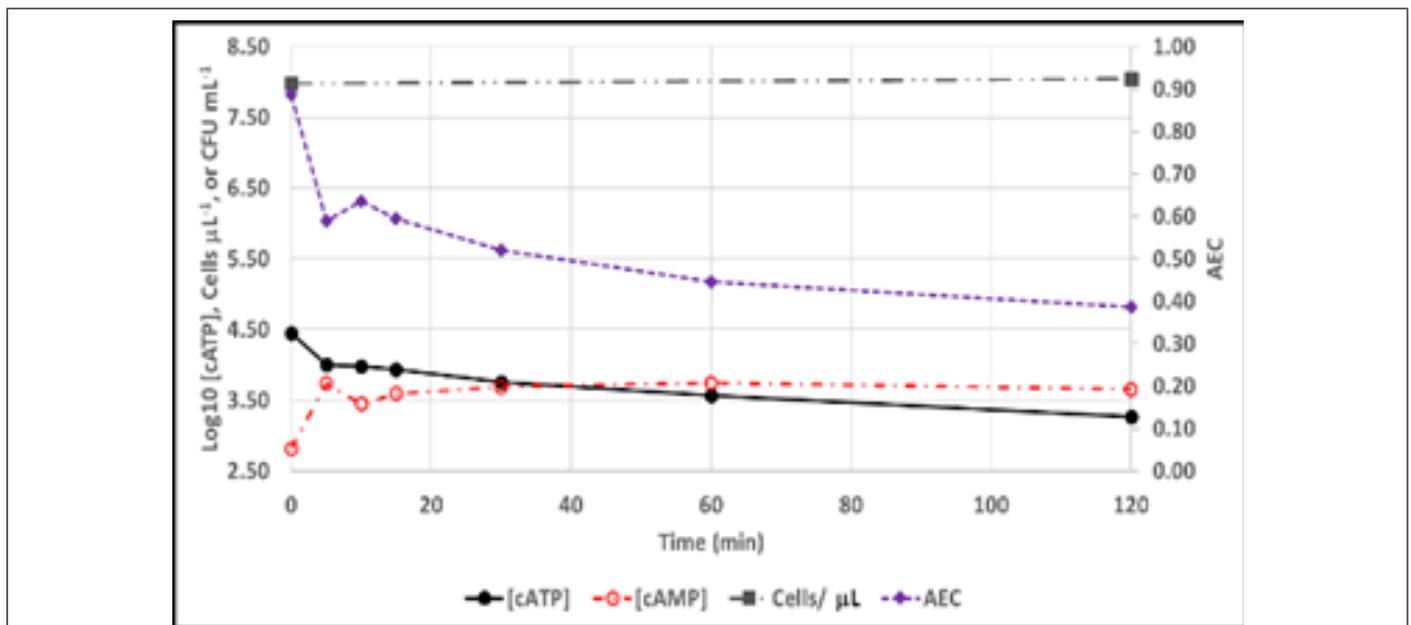
**Table 2:** Comparison of Log<sub>10</sub>[cATP] and Log<sub>10</sub>CFU mL<sup>-1</sup> data from eight MWF samples.

Sample	Product	Log <sub>10</sub> [cATP]	Log <sub>10</sub> CFU mL <sup>-1</sup>	AEC	Attribute Scores	
					[cATP]	CFU
1	EO + Biocide <sup>a</sup>	0.96	(0)	0.1	1	1
2	EO – BC <sup>b</sup>	4.35	7.37	0.83	5	5
3	EO-BC	3.64	6.89	0.83	5	5
4	EO1	1	(0)	0.12	1	1
5	EO2	0.72	(0)	0.16	1	1
6	EO3	0.75	(0)	0.04	1	1
7	EO4	0.73	(0)	0.16	1	1
8	EO5	1.52	2.6	0.82	1	1

**Notes:**

a EO MWF had been formulated with unidentified microbicide.

b BC – Bio concept formulation – known to selectively support Pseudomonas oleo orans proliferation.



**Figure 1:** Effect of 3,700 ppmv HCHO v. population metrics in 5 % Bio-Concept EO MWF.

Microbicide performance is invariably MWF-formulation dependent. As shown in Table 3, BIT at 2500 ppmv (a.s.) was ineffective in the emulsifiable MWF used for this evaluation. In this test series, D[cATP]max was <2 for all treatments and times. Moreover, at 264h, both [cATP] and CFU mL<sup>-1</sup> had increased from their 168h

values. Neither the AEC nor flow-cytometry (F-C) count values in treated MWF were substantially different from those in the control. The AEC values indicated that the population remained stressed but viable in both the control and treated MWFs.

**Table 3:** Effect of BIT treatment on MWF bioburden.

Treatment	Time (h)	Log <sub>10</sub> [cATP]	Δlog <sub>10</sub> [cATP]	AEC	Log <sub>10</sub> CFU mL <sup>-1</sup>	F-C Counts
Control b	168	3.93	0	0.51	7.96	9.54
0.06% BIT	168	3.41	0.52	0.55	7	8.79
0.06% BIT	264	3.56	0.37	0.54	7.64	8.74
0.25% BIT	168	2.27	1.66	0.51	1.78	8.75
0.25% BIT	264	2.92	1.01	0.45	4.7	8.81

**Notes:**

a [cATP] are pg mL<sup>-1</sup>; F-C counts are counts mL<sup>-1</sup>.

b Emulsifiable oil MWF.

**Table 4:** Effect of non-biocidal, amine additives on MWF bioburden.

Treatment	Log <sub>10</sub> [cATP]	Log <sub>10</sub> CFU mL <sup>-1</sup>	Log <sub>10</sub> Cells mL <sup>-1</sup>	AEC
<b>MWF 34928</b>				
Control	3.75	6.62	6.64	0.87
Amine 1	2.24	5.68	6.6	0.39
Amine 2	2.24	5.72	6.26	0.41
<b>MWF 34929</b>				
Control	4.11	6.7	6.97	0.92
Amine 1	2.35	5.96	6.42	0.33
Amine 2	2.2	5.54	6.86	0.22

Two emulsifiable oil MWF were augmented with two amine additive packages, and tested for Log<sub>10</sub> [cATP], AEC, Log<sub>10</sub> CFU mL<sup>-1</sup> and Log<sub>10</sub> F-C count at time 0, 24h, 48, and 72h. The results, shown in Table 4 indicate that [cATP] and AEC were affected, but culturability and cell counts were not. These results indicated that the amine packages had a biostatic effect – stressing but not killing the contaminant microbes.

## Discussion

Routine MWF microbial contamination testing by ASTM E2694 [6] has proven to be a useful, culture-independent tool. A modified version of the ATP test differentiates between bacterial and fungal contamination [13]. Although ATP-bioburdens and culture test recoveries often correlate well [14] there are occasions when disagreements between the two parameters occur. Two conditions can contribute to these disagreements. When viable microbes do not proliferate on growth media, culture test results will underestimate the population density [14]. Conversely, in biostatic MWFs, moribund or dormant cells can recover once they have been transferred from the MWF to a nutrient medium. Environmental pressures adverse to microbial communities can be reflected in AEC

values [10]. The data presented in this paper show that when culture and flow-cytometry testing indicate a greater bioburden than that indicated by ATP, AEC are consistently <0.5. Consequently, AEC appears to be a useful parameter for assessing the overall physiological state of microbial populations in MWFs. This is unlikely to be useful for routine testing but might be a valuable tool for evaluating biostatic MWF formulations and those augmented with adjuvants.

## Conclusions

Determination of [cATP], [cADP], and [cAMP] from which to compute AEC provides consistent and useful insights into the metabolic state of microbial populations in water miscible MWFs. Although AEC does not contribute substantially to routine MWF condition monitoring, it can be a useful tool for assessing the impact of non-biocidal MWF additives on MWF biostability.

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## Conflicts of Interest

There are no conflicts of interest influencing the work reported in this paper.

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