



Review Article

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# Clustered Regularly Interspaced Short Palindromic Repeat-Caspase System: An Approach with Ability for Crop Improvement

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## Abstract

Global recent developments and extensive body of evidence have established the fact that crop productivity and yield has declined, and the agricultural sector suffers a huge threat as a result of abiotic and biotic factors. In an attempt to mitigate the challenge of food shortfall, poor plant yield, intolerance to abiotic and biotic stresses and poor adaptability of crops, several methods have been adopted including conventional breeding technologies but has hit a plateau in recent times. However, breakthrough in molecular biology and biotechnology has been demonstrated to provide an improved alternative to the conventional methods for crop improvements. At the present, sequence-specific genome editing technologies particularly the clustered regularly interspaced short palindromic repeats (CRISPR)/CRISPR-associated protein9 (Caspase 9) genome editing technology (CRISPR/Cas9) has so far shown the greatest potential in mitigating the emerging challenges in crop improvement. CRISPR/Cas9 technology have been used for specific genome modification in many crops and the progress in CRISPR/Cas9 technology in crop improvement has been outstanding including development of abiotic stress tolerant crop plants, development of disease resistant variety of crop plants, and generation of transgene free genome edited crop plants. There is an expectation that the application of CRISPR/Cas9 technology in variety of crop would revolutionize the agricultural sector in the second green revolution to ensure food and nutritional security of the teeming global population particularly among tropical regions. Therefore, this review provides knowledge on the potentials of CRISPR/Cas9 for crop improvement.

**Keywords:** CRISPR/Cas9; Crop; Abiotic; Biotic Factors; Palindromic; Ribonucleoprotein; Enzymes; Hybridization; Transgene; Genome Editing; Biotechnologist

## Introduction

A huge issue in recent times prominent in the world community's centers around climate change, population growth, food shortage and food insecurity [1]. It has been reported that world population

growth is on a rapid increase, and it is projected that by 2050, the population growth would reach 9.7 billion [2,3]. To this backdrop, over 50% population growth expected between now and 2050 are in the tropical region which includes Nigeria, India, Ethiopia,



Indonesia, Democratic Republic of the Congo, United Republic of Tanzania and Uganda been the seven countries among the nine with the estimated growth. Particularly, Nigeria is projected to be largest country in standings of population growth after India and China by 2050 [1,3]. The reality of a rapid population growth remains a critical issue and it is worsened by the decrease in availability of arable land joined with a decline in crop yield as a result of climate change and insecurity. According to the International Rice Research Institute (IRRI), it is estimated that in every 7.7s, one hectare of cultivable land is lost and may heighten with the global climate change [1,4]. To match the rapidity in population growth, it has become very necessary that food production and crop yield needs to be increased by 50% by 2030 and 70-100% by 2050 to sustain the world population [5,6].

In an attempt to mitigate the challenge of food shortfall, poor plant yield, intolerance to abiotic and biotic stresses and poor adaptability of crops, several methods have been adopted including conventional breeding technologies but has hit a plateau in recent times [1,7]. However, breakthrough in molecular biology and biotechnology has been demonstrated to provide an improved alternative to the conventional methods for crop improvements [8-10]. Presently, sequence-specific genome editing technologies particularly the clustered regularly interspaced short palindromic repeats (CRISPR)/CRISPR-associated protein9 (Caspase 9) genome editing technology (CRISPR/Cas9) has so far shown the greatest potential in mitigating the emerging challenges in crop improvement [11-14]. The CRISPR systems, found in 40% of bacterial and also found in archaea, are a part of natural adaptive immune systems against invading viruses [15,16]. CRISPR-Cas loci on the bacterial genome involves a CRISPR array consisting of up to several hundred direct, often palindromic, repeats (35-45 bases) separated by unique spacer sequences (30-40 bases) [16]. Adjacent to the CRISPR array, is one or more operons having a cluster of Cas genes encoding the effector enzymes of the system [17,18].

CRISPR/Cas systems rely on ribonucleoprotein effector complexes for elimination of invading phages and the immune response provided by the CRISPR-Cas system is categorized into three stages which includes adaptation, pre-CRISPR RNA (crRNA) expression/processing, and interference [19,20]. The ribonucleoprotein effector complex comprises of the nuclease (Cas9) and a guide RNA (gRNA). gRNA specifically binds to the target sequence present in genomic DNA and directs Cas9 to a target site for cleavage, resulting in a double-strand break [21,22]. CRISPR/Cas system a powerful tool for gene editing finds huge application for targeted genome modification with better precision compared to other conventional genome modification technologies based on the fact that the Cas9 nuclease is guided by RNA rather than

proteins which makes CRISPR/Cas9 superior to the transcription activator-like effector nucleases (TALENs) and Zinc-finger nucleases (ZFNs) technologies [15,23]. CRISPR/Cas system has revolutionized medicine, biotechnology, and molecular biology and has attracted extensive attention with its application exponentially growing in the area of plants science since its first application was reported in August 2013 [11,24,25]. The trends of application of the CRISPR/Cas9 technology in mitigating the challenges of crop production has been remarkable. Jia et al. [1,26,27] used CRISPR/Cas9 to disrupt CsLOB1 gene in grapefruit Duncan (*Citrus paradisi Macf.*) to produce canker-resistant citrus varieties. CRISPR/Cas9-mediated genome editing was used for the production of transgene-free crop plants; cucumber rice wheat and tomato [28-32]. CRISPR/Cas9 was also used to develop abiotic stress tolerant crops through the regulatory mechanism of stress/ABA-activated protein kinase2 (SAPK2)-mediated stress tolerance in rice [11,33]. Greatly, this review provides knowledge on the potentials of CRISPR/Cas9 for crop improvement.

## Crispr-Cas System

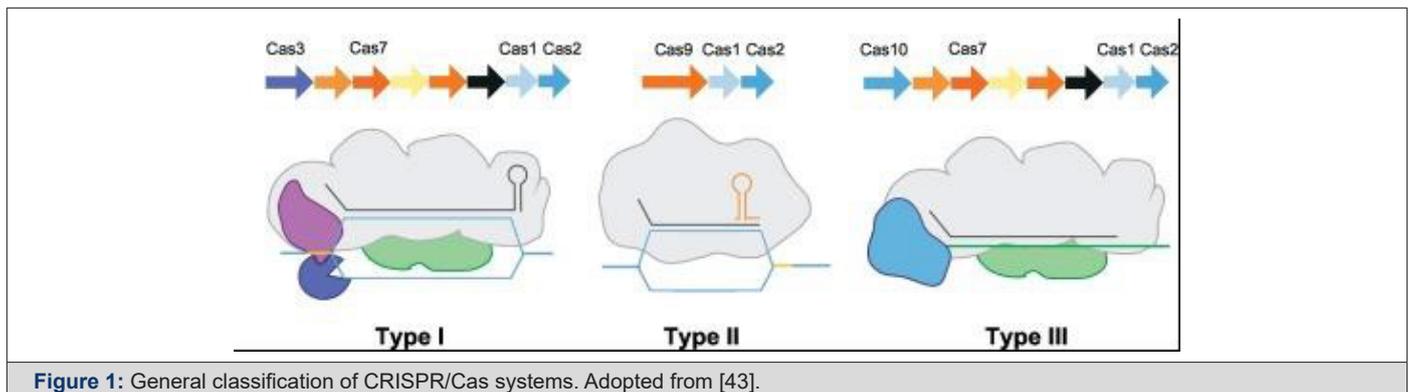
Nakata and colleagues first reported the CRISPR-cas system in 1987 during their study of iap gene. In the study, a set of 29 nucleotide (nt) repeats in *E. coli* was found however sequencing of genomes of several microbes in the next decade led to the discovery of additional repeat elements in different strains of bacterial and archaeal and this unique repeat element called inter-spaced repeat sequences were then regarded as clustered repeat elements [34-36]. According to the report of Hsu et al. [37] the term CRISPR was first used in 2002 by Mojica and Jansen. The CRISPR-cas system is used by bacteria and archaea as an adaptive immune system against invaders as well as bacteriophages and Mobile Genetic Elements (MGEs) [38]. The adaptive immune system of the CRISPR-Cas stores the invaders memory particularly the Mobile Genetic Element (MGE) in the unique spacer derived from MGE and inserts into the CRISPR arrays. The CRISPR spacers transcripts aids the recognition of analogous sequences and direct the Cas nucleases to inactivate targeted familiar MGEs [39,40].

According to the report of Shabbir et al. [34] based on evolutionary examination of Cas proteins, CRISPR/Cas systems as well as signature proteins, Makarova and his colleagues in 2011 suggested a unified nomenclature of CRISPR/Cas systems into three main types, I, II and III [34,41]. On the basis of signature protein, type I has Cas 3, type II has Cas 9 and type type III has Cas 10 as represented in Figure 1. Notably, each class relies on the signature protein to complete its immune response and all the classes have the cas1 and cas2 proteins which plays a key role in the spacer [42-44]. In terms of complexity, Type I and III makes of use of a multiple

signature proteins while Type II makes use of a single protein signature (Cas 9) for producing crRNA and cutting the target DNA and it is also relatively simple to construct and easily engineered to serve as a tool for genome editing [11,41].

Clearly, CRISPR/ Cas9 system has greatly impacted the agricultural sector; however some factors have been identified to limit the efficiency of CRISPR/Cas9 system for editing targeted

genes in crop plants which includes the expression levels of sgRNA and cas9, the secondary structure of sgRNAs, the target DNA, and the codons of cas9 and GC content of the target DNA [45,46]. To improve the efficiency, researchers have been able to introduce sgRNA and cas9 expression cassettes into target crop plants via *Agrobacterium*-mediated transformation and the cas9 gene has also been optimized with plant-usage bias codons [11,19,39,40].



**Figure 1:** General classification of CRISPR/Cas systems. Adopted from [43].

## CRISPR-Cas9 Biology and Mechanism

At the time of the discovery of CRISPR the function and mechanism were not yet known [11]. However, a study conducted by Gameau and colleagues in 2010 using *Streptococcus thermophilus* revealed that CRISPR/Cas was able to cleave the double-stranded DNA of a bacteriophage and plasmid, and these findings demonstrated the molecular basis of adaptive immunity mediated by the CRISPR/Cas system [11,47]. Going further, the key breakthrough that led to the elucidation of the function and mechanism of action of CRISPR system was when it was found out that the spacers within CRISPRs were derived from invading viruses and plasmids [35,48,49]. To provide immunity against invaders, bacteria's and archaea's developed RNA-guided adaptive immune systems encoded by CRISPR loci and the associated caspase enzyme [50,51]. The adaptive immune system cascade of event following an invasion from either bacteriophage or mobile genetic elements results to an integration of the foreign DNA materials into CRISPR repeat-spacer array within the host chromosome in form of a new spacers, and as a result offers a genetic record that enables the host to avert subsequent invasion by the similar invader [9,52].

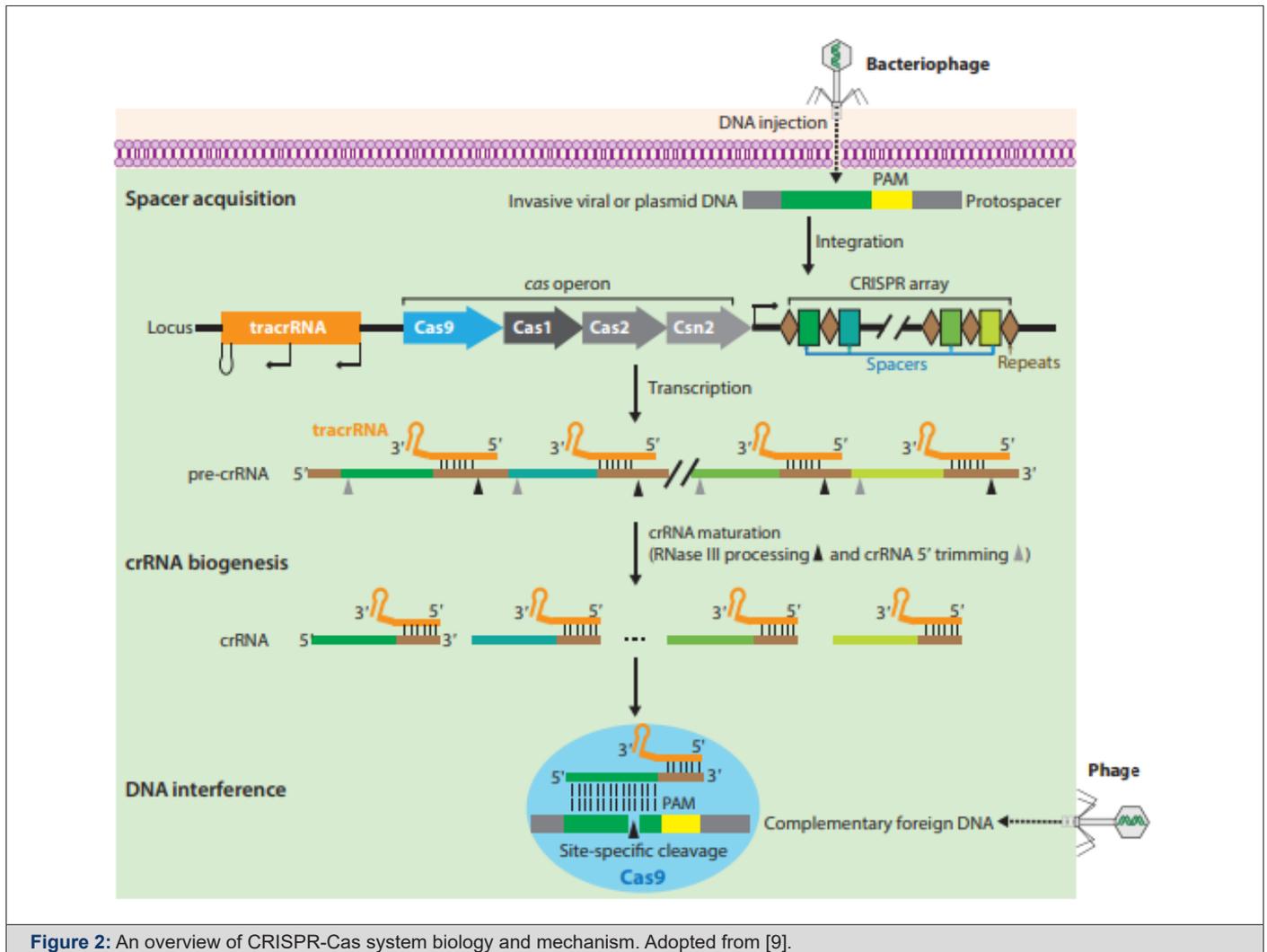
A key feature of CRISPR-Cas systems is the assembly of mature crRNAs from subsequent transcription of the CRISPR array and enzymatic processing of precursor-CRISPR transcripts via Cas protein end nucleolytic cleavage into crRNA-effector complexes to interrogate DNA targets and destroy similar sequences of foreign genetic materials [9,53-55]. Structurally, the crRNA carries the spacer at the 5 end which is an RNA short fragment complimenting

the sequence of a foreign genetic element (protospacer) and carries a piece of repeat sequence of the CRISPR at the 3 ends [9,43,56]. Hybridization between the crRNA spacer and protospacer elicits a sequence-specific end nucleolytic damage of foreign genetic materials by Cas nucleases upon subsequent invasion [54,57]. In the process of adaptive immunity of bacteria and archaea via CRISPR-Cas systems, a short-conserved sequence motif (2-5bp) found close to the crRNA-targeted sequence on the invading DNA, known as the Proto-Spacer Adjacent Motifs (PAMs), plays a necessary part in target DNA selection and degradation [9,11,53]. Mechanistic and morphological studies on of Cas9 activation upon guide RNA binding and target DNA recognition have shown that there is a conformational change for caspase 9 enzyme from an inactive state to an active state (a DNA recognition-competent conformation) upon binding of the guide RNA [9,10,58].

In the DNA recognition-competent conformational state, the RNA seed sequence is preordered in an A-form conformation for target binding and strand invasion, which allows the PAM-recognition sites to preposition for PAM interrogation [10,59,60]. The case 9 enzyme initial binding to PAM sequences results to an interrogation of adjacent DNA for possible target sequence by Cas 9 enzyme [9,56,61]. Following Cas9 finding of possible target sequence with the suitable PAM, a duplex unwinding is initiated, and the sampling of other target sequence continues [43,56]. Finally, for the HNH to achieve a stable and active conformational state for cutting the target strand, there must be a complete annealing of the guide RNA and the target sequence DNA [58].

The resultant change in conformation of the HNH instantaneously results to a great change in conformation of the loop linkers, and in turn direct the nontarget strand to the Ruvco catalytic center for concerted cleavage [9,56]. After the cleavage, Cas9 enzyme remains

firmly bound to the cleaved target DNA sequence till other cellular influences dislodges the enzyme for reprocessing [9,56]. The activity of CRISPR-Cas system consists of three stages: adaptation, expression/processing, and interference (Figure 2).



**Figure 2:** An overview of CRISPR-Cas system biology and mechanism. Adopted from [9].

In the adaptation or spacer acquisition stage, the CRISPR-Cas loci express a complex of Cas protein which binds to the DNA target sequence and then elicit a two double-strand breaks based on the Protospacer Adjacent Motif (PAM) [19,41,62]. The released DNA target sequence (protospacer) following recognition is then integrated into the CRISPR array which act as a new spacer [19,62,63]. In the expression, processing or biogenesis stage, mature crRNA is generated via the cleavage of pre-crRNA formed by the transcription of CRISPR array by RNA polymerase (RNAP) [64,65]. The cleavage of pre-crRNA to crRNA is catalyzed by specific endoribonucleases and the resultant crRNA is also called the guide RNA based on its function [16,66]. The last stage of the CRISPR-Cas system activity is the interference. In this stage, the crRNAs recognize and pairs specifically to the foreign sequence of RNA

or DNA with nearly perfect complementarity resulting to crRNA-foreign nucleic acid complex [41,42]. This results in the cleavage of the crRNA-foreign nucleic acid complex. The mature crRNA, which is bounded to the complex, acts as a guide RNA to recognize similar DNA or RNA sequences in the invading viral RNA that is then cleaved and inactivated by one of the Cas proteins [19,67,68]. However, a cleavage does not occur when there is a mismatch between the spacer and the invader's DNA [34,69].

### Genome Editing Applications Of CRISPR/Cas for Crop Improvement

Recent developments worldwide and extensive body evidence have established the fact that crop productivity has declined, and the agricultural sector suffers huge threats as a result of abiotic

factors including heat, drought, frost, salinity and biotic factors comprising of fungi, bacterial and viruses as well as insecurity that have displaced rural farmers in the tropical regions of the world [11,30,70]. To this backdrop, the focus of crop breeders is to grow crop with better yield, stress tolerance and disease resistant. CRISPR/Cas9-mediated genome editing does not only find application in functional genomics research, but also provides an innovative way for crop improvement to satisfy the yearnings of crop breeders and meet the growing demand for food [11,30]. The specificity of genome editing provided by CRISPR/Cas9 system have shown huge potentials in crop improvement and emerging

evidence have demonstrated a rapid growth of interest in using CRISPR/Cas9 system in the field of agriculture to meet the global growing demand for food [1,24,25,71]. In the last 8 years, the application of CRISPR/Cas9 system for crop improvement have been revealed to be remarkable [1,26,72], and this emerging technology provides an avenue for biotechnologist to develop a productive crop breeding systems with improved yield, abiotic/biotic stress tolerance and enhanced disease resistance [1,73]. Both presently and in the future, CRISPR/Cas9 system finds huge application and possesses the potential for great value to a large part of crop breeding as presented in Table 1.

**Table 1:** Application of the CRISPR/Cas9 technology for crop improvement.

Crop	Target Gene(s)	Function	Method Used	Benefits/Outcome	References
Rice	Single dominant Waxy gene	Control of amylose content	Knock out of single dominant waxy gene using CRISPR/Cas9 system	The resulting mutants exhibited low amylose contents and enhanced glutinosity. The study demonstrated an easy and effective approach to turn a poor-quality rice variety into an improved one.	[30,11]
Potato	The GBSS gene	Encodes a granule-bound starch synthase	Disruption of the GBSS gene using CRISPR/Cas9	Exhibited reduced amylose content and an increase in the amylopectin/amylose ratio	[74]
New Indica rice varieties	Metal transporter gene OsNramp5	OsNramp5 is a key gene involved in the control of the uptake of Cd, Mn, and other metal ions by rice root cells. The functional deficiency of this gene can significantly reduce the accumulation of Cd in rice grains	Disrupting the metal transporter gene OsNramp5 using the CRISPR/Cas9 system	The production of safe rice from a Cd-contaminated paddy field.	[75,76]
Tomatoes	Gamma-Aminobutyric acid (GABA)	Gamma-Aminobutyric Acid (GABA) is a health-promoting compound, which is synthesized by a metabolic pathway called the "GABA shunt"	Modification of a single gene in tomato, or multiple genes simultaneously, in the "GABA shunt" pathway using the CRISPR/Cas9 system	Significantly improved GABA accumulation in the tomato fruit	[77,72]
Maize	ARGOS8 gene	ARGOS8 gene acts as a negative regulator of ethylene responses, but ARGOS8 expression is relatively low in maize.	To enhance expression of ARGOS8, the CRISPR/Cas9 system was used to insert the GOS2 promoter sequence into the 50-untranslated region of the native ARGOS8 gene, or change the native promoter of ARGOS8 into the GOS2 promoter by HR.	These ARGOS8 variants showed increased grain yields under field drought conditions	[78]
Wheat	Enhanced disease resistance1 (EDR1)	The Enhanced Disease Resistance1 (EDR1) gene is a negative regulator of resistance to powdery mildew in Arabidopsis	used the CRISPR/Cas9 system to simultaneously knock out three homologs of EDR1	obtained Taedr1 plants with enhanced resistance to powdery mildew.	[79]
Tomato and Wheat	Mildew-Resistance Locus (MLO)	Encodes the Mildew-Resistance Locus (MLO) protein that has a negative resistance function, thereby causing susceptibility to powdery mildew in plants expressing this gene	The MLO gene was an ideal mutation target for RNA-guided Cas9 knock outs	To improve powdery mildew tolerance and the approach was successfully demonstrated for both wheat and tomato.	[80,81]

Banana	Phytoene desaturase genes, RAS-PDS1 and RAS-PDS2	Carotenoids play an important role in many physiological processes in plants and the phytoene desaturase genes encode important enzymes in the carotenoid biosynthesis pathway	Phytoene desaturase genes, RAS-PDS1 and RAS-PDS2 were recently mutated by the application of CRISPR/Cas9	59% success rate in bananas. This finding indicates that CRISPR/Cas9 can be used for the modification of quality of bananas	[82]
Rice	(GW2, GW5, TGW6)	Negatively regulate seed size	Simultaneous mutation of three genes (GW2, GW5, TGW6) using CRISPR/Cas9	By mutating these genes in rice, they were able to increase seed size significantly (up to 30% in triple mutants).	[83]

## Progress of CRISPR/Cas9 Technology in Crop Improvement

This section highlights the application of CRISPR/Cas9 technology in crop plant improvement. Before now, the conventional methods have been used for crop plants genome modification for improved yield, but the technique has now become outmoded as a result of the experienced limitations including requirement for longer time for genome modification, challenges of incompatibility of crop plants species and decreasing plants genetic variations [1,71,73]. In the light of this, crop improvement requires genome modification technology to add novel features to crop plants within the shortest possible time and CRISPR/Cas9 system with its specific transcriptional regulation and genomic modification have extensively been shown to be a suitable alternative for this purpose [74-76]. CRISPR/Cas9 technology have been used for specific genome modification in many crops and the progress in CRISPR/Cas9 technology in crop improvement has been outstanding including development of abiotic stress tolerant crop plants [77,78], development of disease resistant variety of crop plants [79,80], and generation of transgene free genome edited crop plants [29,79, 81].

### The use of crispr/cas9 technology for the development of abiotic stress tolerant crop plants

One of the huge threats to the crop productivity that impedes a worldwide crop yield, is abiotic stress [1, 82]. Some of the key abiotic stresses that have been indicated to affect crop yield includes waterlogging, drought, flooding, temperature, mineral toxicity, soil salinity/acidity and nutrient deficiency and it have widely been reported that these stresses are expected to be aggravated by climate change and environmental degradation [19,83-85]. Abiotic stresses pose a persistent threat to worldwide food security and has resulted to over 40% loss in crop production [84]. To ensure a sustained crop productivity to meet the growing world population despite the climate change, it is now necessary to develop crop plants that can withstand abiotic stresses [85,86]. Molecular studies using CRISPR technology in elucidation of stress responsive genes in plants have identified novel traits and associated genes for the genetic improvement of crop plants [19,84,87]. The phytohormone

ethylene have been identified to influence responses of crop plants to abiotic stresses using CRISPR technology [1,83,88].

Similarly, CRISPR/Cas9 system in the study of Wang et al. [89] was used in tomatoes to demonstrate the role of the mitogen-activated protein kinases3 (SlMAPK3) gene in defense responses against drought via analysing the slmapk3 mutants. The study of Lou et al. [33] revealed that the regulatory mechanism of stress/ABA-activated protein kinase2 (SAPK2)-mediated stress tolerance in rice using a mutant developed using the CRISPR/Cas9 system. To improve grain yield under drought conditions and produce drought-tolerant maize, the CRISPR/Cas9 system was used to insert the GOS2 promoter sequence into the 50-untranslated region of the native ARGOS8 gene [11,90]. CRISPR/Cas9 system has great potential for genetic modification of crop plants to provide tolerance to numerous abiotic factors, increase yield and improve the nutritional quality of crop plants [1,25,91,92].

### Crispr/cas9 genome editing for mitigating the challenges of crop diseases and pest

Plant diseases and pests are significant factors affecting crop plant productivity and yield, and a huge challenge of the scientific community is the improvement and application of models for plant diseases to examine and predict the productivity and yield losses including those as result of climate change [93-96]. The impact of diseases and pest on agricultural system have been immense, and several diseases have been identified including brown streak, mosaic and bacterial blight that have caused a significant loss of crop plant yield and productivity [29,30,81,97]. In attempt to mitigate the huge challenges of yield losses loss and productivity of crop plants, a technology that have shown great prospect has become very necessary. In this light CRISPR/Cas9 system have shown great promise and has been used for the development of variety of resistant crop plants against diseases and pest ensuing to improved crop yield and productivity [11,70,79]. Odipio et al. [80] used CRISPR/Cas9 to edit Phytoene desaturase gene in cassava for improved yield. Zaidi et al. [70] utilized CRISPR/Cas9 system for disruption of viral genome to combat plant diseases. The canker susceptibility gene CsLOB1 in grapefruit (*Citrus paradisi Macf.*)

was disrupted to produce a variety of canker-resistant citrus using CRISPR/Cas9 system [27]. Finally, the eukaryotic translation initiation factor 4E (eIF4E) and eukaryotic translation initiation factor isoform 4E (eIF(iso)4E) genes which are identified to be a resistance gene in a wide range of hosts were altered to develop a virus-resistant cucumber (*Cucumis sativus*) and Arabidopsis plants using the CRISPR/Cas9 system [28,98].

### Generation of transgene-free genome edited crops

The simplicity, precision and efficiency of the CRISPR/Cas9 system have been established for genome editing, but its capability for the production of transgene-free genetically modified crops plants has drawn significant interest in recent times [11,29,31]. It has been reported that the transgene-free genetically modified crops plants developed using CRISPR/Cas9 system might bypass the strict biosafety regulations required for genetically modified crops owing to the fact that it is hard to differentiate the transgene-free crop plants from the varieties containing genetic variation created by natural mutagenesis [11,99]. The anti-browning mushroom (*Agaricus bisporus*) and waxy corn have been reported to already have passed the US biosafety regulations [11]. Additionally, transgene-free genetically modified crops plants developed using CRISPR/Cas9 system have been reported for tomato, rice, maize, wheat, and cucumber [28,30,32, 81, 100,101].

### Future Perspective and Conclusion

Global recent developments and extensive body of evidence have established the fact that crop productivity and yield has declined, and the agricultural sector suffers a huge threat as a result of abiotic factors including heat, drought, frost, salinity and biotic factors comprising of fungi, bacterial and viruses. To this backdrop, a switch to a proven technology has become very necessary. The trends of application of the CRISPR/Cas9 technology in mitigating the challenges of crop production has been remarkable. CRISPR/Cas9 system has revolutionized plant biotechnology and the specificity of genome editing provided by CRISPR/Cas9 system have shown huge potentials in crop improvement and emerging evidence have demonstrated a rapid growth of interest in using CRISPR/Cas9 system in the field of agriculture to meet the global growing demand for food. Conclusively, the CRISPR/Cas9 system is relatively well understood, its construction methods have progressively been improved, and attempts are ensued to minimize off-target effects as this emerging technology provides an avenue for biotechnologist to develop a productive crop breeding systems with improved yield, abiotic stress tolerance and enhanced disease resistance.

There is an expectation that the application of CRISPR/Cas9 technology in variety of crop would revolutionize the agricultural sector in the second green revolution to ensure food and

nutritional security of the teeming global population particularly among tropical regions. Therefore, it is necessary to consider the CRISPR/Cas9 mediated genome crop plants as non-GMO for rapid application and also accept the CRISPR/Cas9 technology at the field level. Additionally, advances in CRISPR/Cas9 technology for the modification of genes are becoming more applicable for genetic improvement of abiotic stress tolerance in crop plants, and emerging omics approaches provides huge opportunity for elucidation of abiotic stress responses from the cellular to the molecular level of crop plants. In an attempt to improve crop productivity and yield, the scientific community is still faced with the challenges of analysing and predicting crop yield losses due pest and disease including those due to climate change. Models for crop diseases and pest have been shown to predominantly target the applications of pesticides, and as such a holistic approach covering process-based agricultural simulation modelling on one hand, and molecular technology particularly CRISPR/Cas9 system on the other hand looks promising.

### Conflict of Interest

The authors declare that there is no conflict of interest regarding the publication of this manuscript.

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